



TERMS OF REFERENCE

**Consulting Services of an Urban Risk Management
Consultant
APP Ref AUC/DARBE/CS/054**

1. BACKGROUND

Africa is the most rapidly urbanizing continent in the world. As the continent urbanizes, mitigating the risks of disasters will play an important role in guaranteeing that African cities are socially and economically stable¹. Urbanization offers huge opportunities for economic and human development for many African countries. However, these opportunities are also vulnerable to risks and losses from disaster events. Over the next 30 years, Africa's population is projected to increase to 1.5 billion by 2025 and 2.4 billion by 2050. By 2050, 60% of Africa's population will live in urban centres (UN-DESA, 2014). The current trend shows that disaster risk in Africa is increasingly affecting urban spaces. Disasters have increased in the region since the 1970s with increases in human exposure to disaster risks largely driven by population growth particularly concentrated in cities, interwoven with the effects of fragility. Despite the significance of large-scale disasters, the impacts of everyday hazards (e.g. infectious disease linked to unsanitary conditions) and small-scale disasters (e.g. localized floods and shack fires) in the region cannot be underestimated. Especially at the city scale, understanding the linkages between development process, underlying everyday risks and periodic disaster risk is vital if development is to contribute to reducing rather than generating risk.

One of the key findings of the Africa report for DRR (2015-2018) is that transportation and industrial hazards are on the increase in Africa. The report observed that rapid and unregulated urbanization is one of the major factors contributing to the increased disaster risk on the continent, noting specifically that many regions, including the Economic Community of West African States (ECOWAS) member states have experienced a number of technological disasters occasioned by technical failure, system breakdown, human error, industrial accidents, fires, building collapse, oil spillage and transport accidents, that have increased in frequency and severity. The report further notes that these are more pronounced in urban areas. The growing trend of urban disasters means that national and local governments, city authorities and decentralised institutions including urban planning units, environmental management units and other social service providers at the city level will have to understand, commit and factor long-term climate resilient investments into urban planning and implementation processes based on practical information that is user friendly and easily understood by political and decision-making bodies at the local

¹ ACIDI 2018: <http://acdi.uct.ac.za/blog/disaster-risk-management-urban-africa-introducing-urban-ark-Project>

government level. If the underlying causes of the urban risks and disasters are not addressed in urban planning and infrastructure development processes, the risks accumulate and are compounded with even potentially higher economic and social costs from disasters in the future. There is, therefore, need to provide guidance to African countries in reducing urban disaster risks, including to encourage them to pay special attention to urban communities and special needs of vulnerable people – including marginalised women, men, boys, girls, female headed households, and people with disabilities.

The African Union Commission (AUC), with financial support from Swedish International Development Cooperation Agency (SIDA) through the United Nations Development Programme (UNDP), and in partnership with the UNDP, ECOWAS and other regional institutions and UN WOMEN, is implementing a three year regional project titled, “*Strengthening capacities for disaster risk reduction and adaptation for Resilience in the Sahel Region: fostering risk-informed solutions for sustainable development*”. The project aims to build capacities of regional institutions and national governments in the Western Sahel, including the Lake Chad Basin (Mali, Mauritania, Senegal, Burkina Faso, Chad, Niger and Nigeria) in the institutionalization of risk-informed development planning, programming and investment for gender-responsive resilience building. Output 4 of the project is “Enhanced Regional Capacities for Urban Risk Management in the Sahel”.

Through the Disaster Risk Reduction (DRR) Unit, Department for Agriculture, Rural Development, Blue Economy and Sustainable Environment (ARBE), the AUC is responsible for coordinating and providing policy guidance for the development and implementation of DRR frameworks across the continent. In light of this responsibility, the AUC seeks the services of an Urban Risk Management (URM) Consultant to support the DRR Unit in implementing the above stated Output 4 of the Sahel Resilience Project.

2. Objective of the Assignment

Under the overall guidance of the Director for Sustainable Environment and Blue Economy, and the day-to-day direction of the Technical Coordinator of the Disaster Risk Reduction Unit, the Urban Risk Management Consultant is to provide high quality technical support in areas such as urban risk assessment, early warning systems, preparedness for response and recovery as well as integration of disaster and climate risks in urban planning, infrastructure development and recovery, through coherent policy, strategic and programme advisory services, at the regional and national level, as per the requirements of the AUC.

The Consultant will support the AUC in organisation of meetings of the interdepartmental working group on Disaster Risk Reduction with focus on urban resilience and mainstreaming of DRR in relevant AUC Departments, developing an African roadmap on urban risk management, developing and supporting rolling out guidelines for Regional Economic Commissions (RECs) and Member States on urban risk management.

2.1. PURPOSE

The purpose of this consultancy is to strengthen AUC’s capacity to build capacities of regional organisations and Member States in Urban Risk Management.

2.2. SPECIFIC OBJECTIVES

Specifically, the Urban Risk Management Consultant will be responsible for the following four key objectives:

- Substantive delivery of urban risk management-related technical assistance, and policy and programme support;
- Substantive development of urban risk management roadmap, guidelines and the related tools and resources;
- Knowledge management and community of practice for urban resilience and risk management; and
- Advocacy and stakeholder's engagement for effective policy positioning on urban risk management.

3. Scope of Work

- Work in close collaboration with members of the DRR Unit, Sustainable Environment and Blue Economy (SEBE) Directorate and other relevant Departments of the AUC to provide guidance on and promote urban risk management.
- Provide technical support to the development of the AUC guidelines for Urban Risk Management and leverage AUC's policy leadership and convening power in advocating for and ensuring that the Member States take into account the multi-dimensional risks (disaster, climate and conflict) in urban planning, infrastructure development and urban risk management processes.
- Support mainstreaming of Disaster Risk Reduction in general and Urban Risk Management in particular in to AUC Departments;
- Develop an African roadmap on urban risk management and guidelines, and support rolling out of the guidelines in RECs and Member States with initial piloting in the Sahel;
- Support AUC to implement the project "strengthening capacities for disaster risk reduction and adaptation for Resilience in the Sahel Region: fostering risk-informed solutions for sustainable development".
- Liaise with UNDP and ECOWAS on the implementation of the project;
- Support integration of risk reduction in sustainable urban development and urban risk management at sub-regional and national level;
- Promote and enhance urban risk management approaches that link climate change adaptation with disaster risk reduction and risk-informed programming;
- Build upon past initiatives related to urban risk management, and ensure synergies between ongoing programmes/projects/policy initiatives;
- Support capacity building of AUC DRR Unit and other departments and Specialised Agencies, Member States (including national disaster management institutions, urban local bodies), and other governmental and non-governmental stakeholders such as the academia/research institutions, civil society organizations and the private sector in urban risk management; and

- Strengthen the urban risk management community of practice in Africa, including with the UN system, the EU, the World Bank, other Regional Intergovernmental Organizations, Regional Development Banks, bilateral partners, external experts, etc.

3.2 Substantive delivery of urban risk management related technical assistance, and policy and programme support

- Provide technical inputs for the design, development, and implementation of urban risk management and resilience building programmes at regional and national levels through the RECs as required.
- Assist in policy development, including the review and analysis of issues and trends, prepare evaluations or other research activities and studies related to urban risk management.
- Ensure the integration of cross-cutting issues, such as gender, peace building, rights of persons with disabilities, human rights, and resilience into all urban risk management-related activities, monitoring, and reporting.
- Assist DRR Unit with the delivery of policy and technical support in the area of urban risk management and resilience building, in contribution to the implementation of the Sendai Framework for DRR and the Africa Programme of Action.
- Provide technical and coordination support, where applicable, for the conduct of Post-Disaster Needs Assessments (PDNA) and the development of Recovery Frameworks (RF) as it relates to urban risk reduction and recovery.
- Collaborate with AUC, RECs, specialised agencies as well as other thematic teams on programmes related to urban risk management and resilience building, including linkages to climate change, livelihoods, displacement, fragility and gender issues.
- Contribute to the development of approaches to increase regional and national capacities for urban risk management and risk-informed urban development, including its integration in national and regional disaster risk reduction and recovery strategies, policies and legislative system, or developing standalone urban risk management strategies.
- Support the implementation of regional urban risk management, resilience building and recovery programmes and projects.
- Serve as AUC's resource person for the project "strengthening capacities for disaster risk reduction and adaptation for Resilience in the Sahel Region: fostering risk-informed solutions for sustainable development".

3.3 Substantive development of urban risk management related tools and resources

- Develop a continental roadmap that offers systematic and pragmatic approaches and tools for assessing urban resilience and for developing urban risk management plans in Africa.
- Support the development and delivery of urban risk management related training modules/guidance/tools for regional entities and Member States to facilitate the practice of urban risk analyses/assessments, early warning systems, preparedness in response and recovery, integration of risks in urban planning/infrastructure development, and post-disaster recovery in urban areas.
- Provide technical expertise in developing tools, methodologies and producing knowledge management products on urban risk management, with linkages to disaster risk reduction, resilience, climate change and other relevant themes.

- Provide technical expertise, as required, on the content development for regional/country-level knowledge products through the analysis of data, case evidence, and research findings on urban risk management.

3.4. Knowledge management and community of practice for urban resilience and risk management

- Build the knowledge base and documented experience to further substantiate the link between urban disasters, urbanization processes, urban governance, development planning, infrastructure development and basic services in Africa.
- Compile, analyze, report and codify urban risk management and recovery experiences and lessons learned in Africa.
- Support the development and effective application of knowledge products and policy documents.
- Provide relevant substantive inputs to build and strengthen a regional Community of Practice on urban resilience.
- Advocate and enable facilitation of exchanges and experiences sharing related to urban risk management and resilience between institutions and specialised agencies, among Member States, between RECs within Africa as well as through South-South cooperation, where valuable.
- Support the capacity development of DRR Unit in DREA, other relevant AUC departments and Specialised Technical Offices, RECs and Member States in the application of urban risk management tools and practices.
- Strengthen linkages with DRR and climate change communities of practice, both inside the AUC, with relevant entities within the RECs and Member States.
- Support the DRR Unit and the AUC in responding to RECs and Member State requests for information on global/regional/national best practices and experiences on urban risk management together with partners and expert institutions.

3.5 Advocacy and partner engagement for effective policy positioning on urban risk management

- Contribute to the development and nurturing of partnerships between RECs and between Member States (especially on cross-border processes related to urbanization, migration, risk reduction and recovery), different organs of the AUC, and with the EU, World Bank, UN agencies and regional intergovernmental organizations, bilateral partners, think tanks, academic institutions and non-governmental organizations to foster and strengthen the practice of urban risk management and sustainable urban development.
- Participate in consultations and drafting of joint agreement and MOUs and statements of intent on urban risk management and resilience building.
- Foster partnership through attendance in regular meetings, contributions to joint knowledge products and joint conferences and workshops on urban risk management and resilience building/recovery or relevant areas.
- Support AUC in its engagement in partnerships on urban risk management and resilience building through reporting and developing briefing notes and background information when required.
- Support resource mobilization efforts for urban risk management and resilience building through development of project concept notes and proposals.

- Support establishment of interdepartmental task force at AUC to facilitate mainstreaming DRR, including urban resilience in AUC department.
- Support in mainstreaming DRR in relevant AUC Departments.
- Carry out any other relevant tasks assigned by the supervisor.

4. KEY DELIVERABLES

- Urban Risk Management (Resilience) Roadmap
- Detailed guidelines and recommendations for the implementation of the roadmap, including mainstreaming urban risk management in urban planning, utilising existing Interdepartmental working on DRR and other relevant platforms
- Policy brief
- Knowledge products on URM produced and disseminated

5. INSTITUTIONAL ARRANGEMENT

The Consultant shall work under the overall guidance of the Head of Division for Sustainable Environment, and the day-to-day direction of the Technical Coordinator of the Disaster Risk Reduction Unit.

6. MINIMUM REQUIREMENT

Education and skills

- Advanced university degree (Master's degree or equivalent), preferably in urban planning, civil engineering, disaster management, geography, development studies, social sciences, public policy or related field is required.
- A first level university degree combined with extensive compensating experience in Urban Disaster Risk Reduction and Recovery may be accepted in lieu of the advanced university degree.

Experience

- A minimum of 7 years of professional work experience in providing technical advice in the development and implementation of policies and programmes, including the review of policy documents and programmes in the area of disaster risk reduction, urban risk management, urban planning/development, climate change and recovery or closely related fields is required.
- Extensive experience in urban risk reduction and resilience building, including in urban risk analysis/assessments, early warning systems/preparedness initiatives, capacity building of urban local governments and other key stakeholders in urban risk management, mainstreaming risk reduction in urban planning and development strategies/policies/plans, development of urban recovery and risk reduction plans and programmes in crisis contexts is required.
- Experience in the application of global and regional frameworks for disaster risk reduction and climate change to the urban context, including the domestication of the Sendai Framework, is preferred.
- Experience in providing regional policy advice, regional technical assistance, and capacity development to support to multi stakeholder institutions in establishing and coordinating multi-partner interventions is highly desirable.
- Experience working in West Africa on urban risk reduction, climate change adaptation and/or recovery is highly desirable.

- Coordination experience working directly with governments, development partners, and international organizations would be an asset. Knowledge of and experience within the AU system would be an asset.

Language(s)

- Fluency in English, both spoken and written, is required. Fluency in French is highly desirable.
- Fluency in any other AUC official language is an added advantage

7. DUTY STATION

The consultant shall be based in Addis and may travel outside Addis for the assignment where possible. The costs of travel shall be covered by the African Union Commission.

8. DURATION OF ASSIGNMENT

The consultancy shall be conducted for the period of FIVE MONTHS (05) months.

9. Remuneration

A total amount of not more than **US\$ 45,000** shall be paid to the Consultant for the whole assignment. Payment shall be made upon completion of key deliverables as follows:

- Inception report
- Urban Risk Management (Resilience) Roadmap
- Detailed guidelines and recommendations for the implementation of the roadmap, including mainstreaming urban risk management in urban planning, utilising existing Interdepartmental working on DRR and other relevant platforms
- Policy brief
- Knowledge products on URM produced and disseminated

10. Payment Schedule

Variable	Instalment (percentage)	Schedule
Inception Report	10%- first instalment	1 st Month
deliverable 1: Urban Risk Management (Resilience) Roadmap	20% - second installment	2 nd month
Detailed guidelines and recommendations for the implementation of the roadmap, including mainstreaming urban risk management in urban planning, utilising existing Interdepartmental working on DRR and other relevant platforms	25%-Third instalment	Third month
Policy brief	25% fourth instalment	Fourth month
Knowledge products on URM produced and disseminated	20%-Fifth instalment	Fifth month

11. EVALUATION QUALIFICATION CRITERIA

The African Union Commission now invites eligible Individual Consultants to indicate their interest in providing the Services. Interested candidate must provide information demonstrating that he/she has the required qualifications and relevant experience to perform the Services.

For evaluation of the expressions of interest the following criteria will be applied:

- a) General Education Qualification Geography, Urban Development, Social Sciences and Relevant Training – **15 marks**
- b) Experience Related to the Assignment – **15 marks**
- c) Professional work experience in providing technical advice in the development and implementation of policies and programmes, including the review of policy documents and programmes in the area of disaster risk reduction, urban risk management, urban planning/development, climate change and recovery or closely related fields – **25 marks**
- d) Extensive experience in urban risk reduction and resilience building, including in urban risk analysis/assessments, early warning systems/preparedness initiatives, capacity building of urban local governments and other key stakeholders in urban risk management.- **20 marks**
- e) Experience in mainstreaming risk reduction in urban planning and development strategies/policies/plans, development of urban recovery and risk reduction plans and programmes in crisis contexts is required – **15 marks**
- f) Experience in the application of global and regional frameworks for disaster risk reduction and climate change to the urban context, including the domestication of the Sendai Framework, is preferred – **10 marks**

Further information can be obtained at the address below during office hours 8:00-13:00hrs and 14:00-17:00 hours Local Time.

Proposal must be delivered in a written form to the address below not later than 15:00 hours local time, 29 October 2021.

African Union Commission,

Attn: Carine Toure Yemitia (Mrs.)

Head of Procurement Travel and Store Division

Building C, Room 327

P.O. Box 3243, Roosevelt Street

OR

Tender@africa-union.org